

# Machine (Deep) Learning for 3D Understanding



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26-03-2021

# Today's Agenda

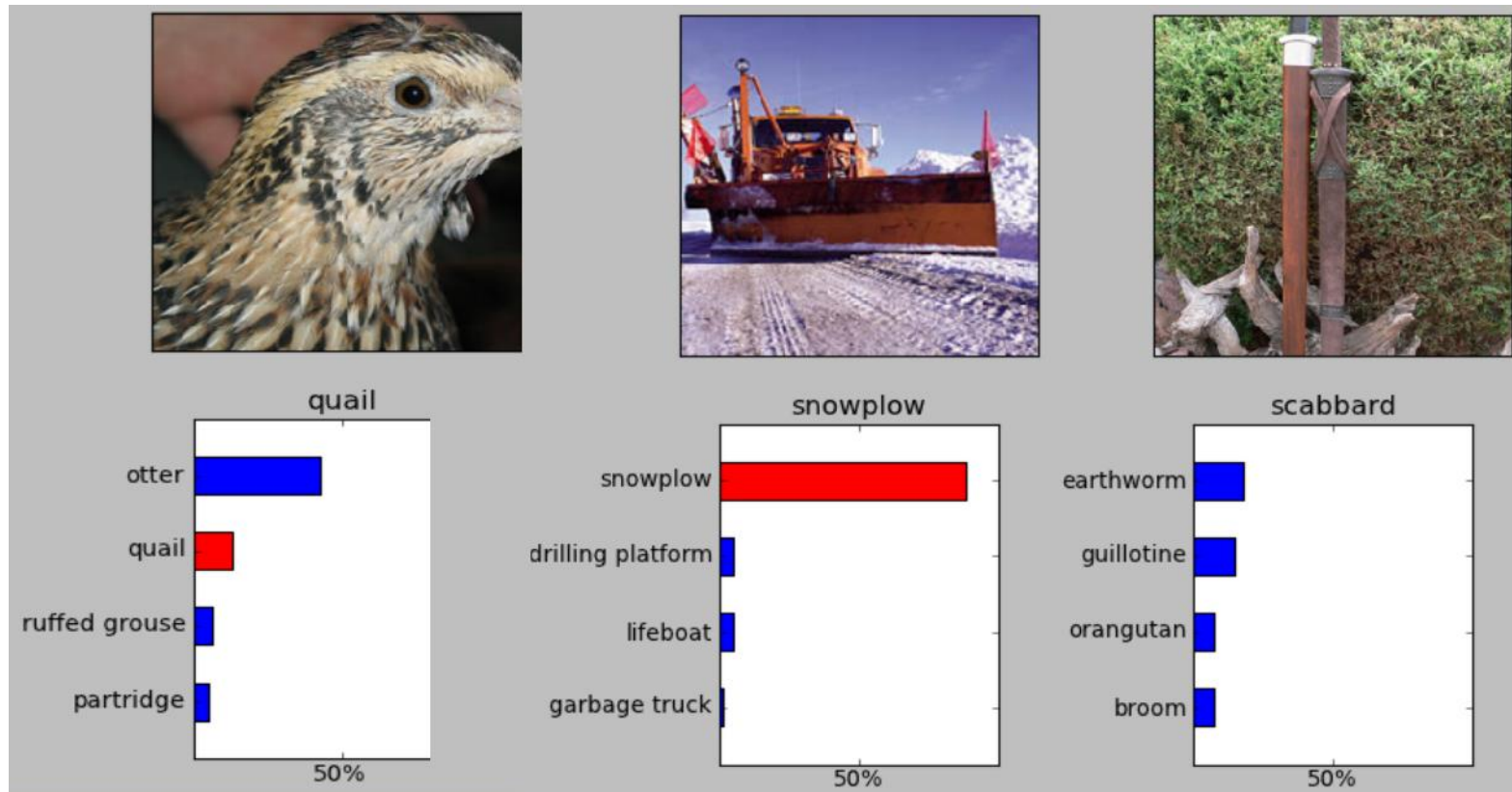
- Machine Learning basics
  - Definition & Scope of machine learning
  - Bayes classifier
  - Linear classifier (Fisher, SVM)
- Deep Learning for 3D urban applications
  - Deep learning intuition
  - Deep neural networks for 3D classification and segmentation of point clouds

# Today's Agenda

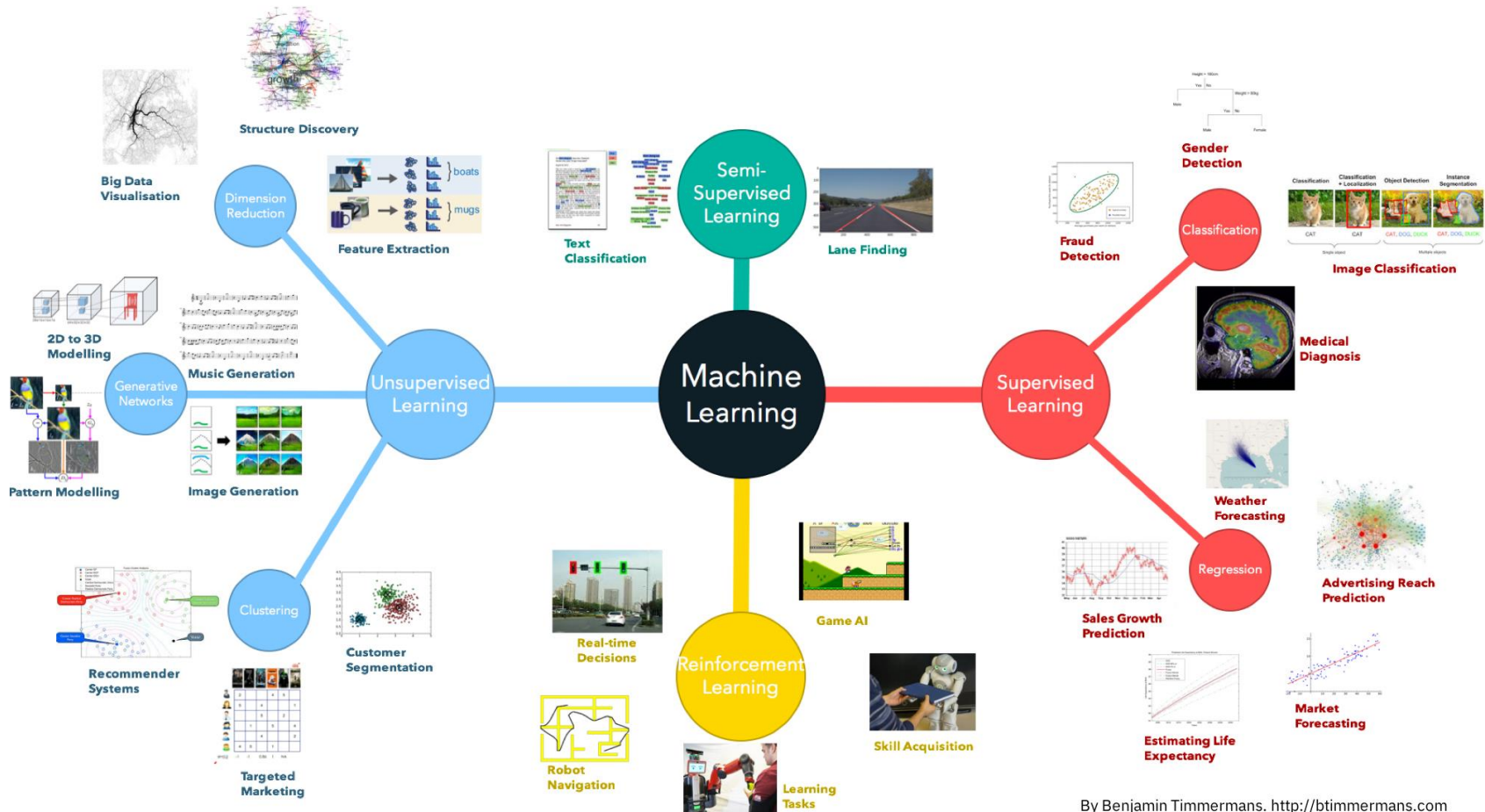
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# Machine Learning

- Learning from examples

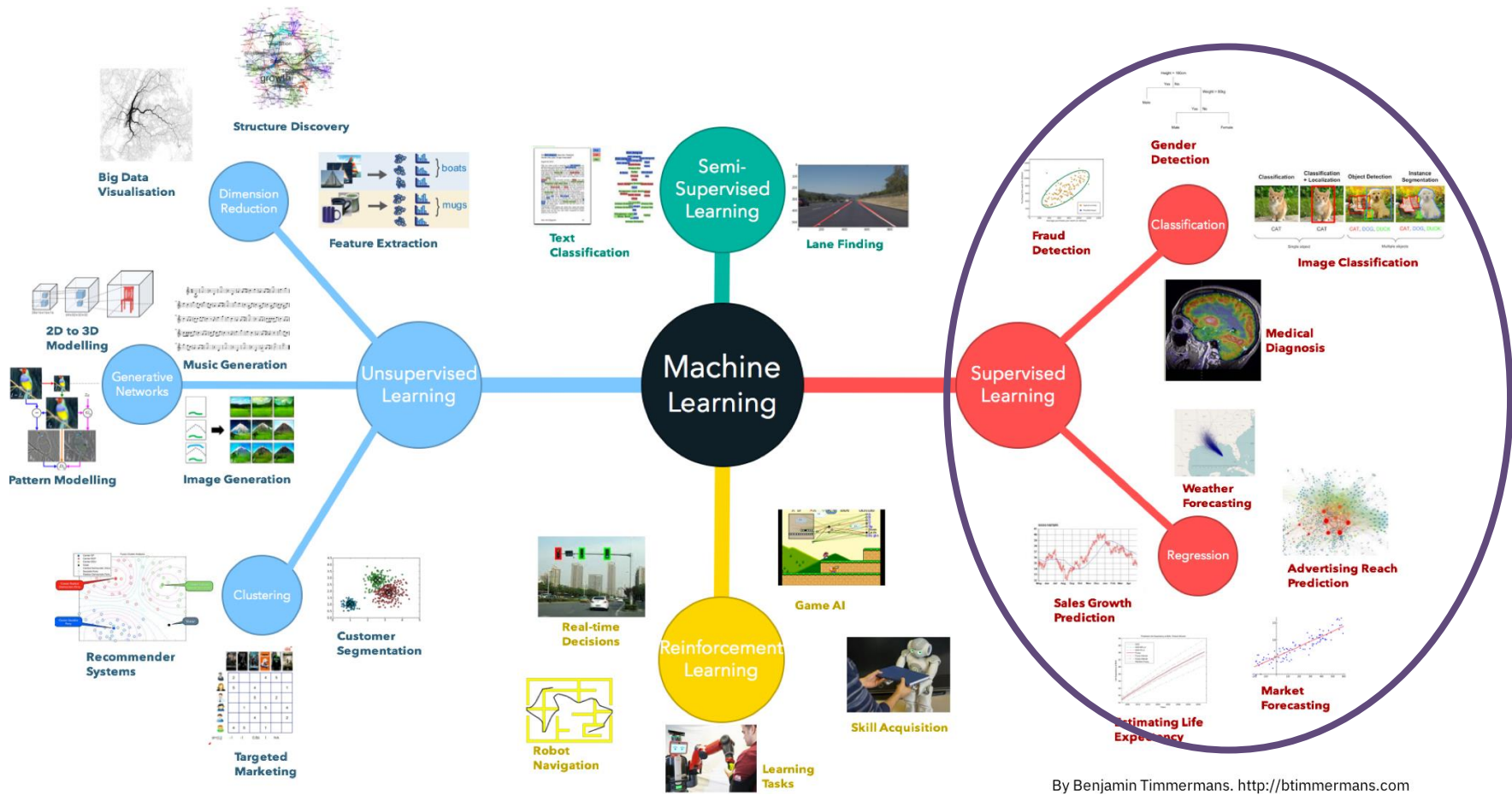


# Machine Learning Scope




By Benjamin Timmermans. <http://btimmermans.com>

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- Machine Learning basics
  - Definition & Scope of machine learning
  -  – Bayes classifier
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# Bayes Classifier





# Bayes Classifier

- A simple scenario:
  - If the day is **sunny**, I go for **pizza**
  - If it is **raining** that day, I go for **hotpot**
  
- One day you observed that I had **hotpot** as dinner. What the weather of that day do you guess to be?



# Bayes Classifier

- It's very likely to be rainy!
- But how do machines interpretate the word “likely”?



# Bayes Classifier: Probability basics

- Product rule:

$$P(A, B) = P(A) P(B|A)$$

- Bayes rule:

$$P(B) P(A|B) = P(A) P(B|A)$$
$$P(A|B) = \frac{P(A) P(B|A)}{P(B)}$$

# Bayes Classifier: Probability basics

- We have an observation, assuming  $x$  is the measurement, and  $\omega$  is the class label

$$P(\omega|x) = \frac{P(\omega) P(x|\omega)}{P(x)}$$

- $P(x | \omega)$ : class conditional probability
- $P(\omega)$ : class prior probability
- $P(\omega | x)$ : class posterior probability

# Bayes Classifier: Probability basics

- Recap the problem of weather prediction by food
- Assume equal priors in both sunny days and rainy days

$$P(\omega = s) = P(\omega = r) = 0.5$$



# Bayes Classifier: Probability basics

- Assume we have the class conditional probabilities as follows

$$P(\text{hotpot}|\omega = s) = 0.2$$

$$P(\text{pizza}|\omega = s) = 0.8$$

$$P(\text{hotpot}|\omega = r) = 0.75$$

$$P(\text{pizza}|\omega = r) = 0.25$$



# Bayes Classifier: Probability basics

- With the observation of “hotpot”, what is the posterior probability of the weather?



# Bayes Classifier: Probability basics

Sunny:

$$\begin{aligned} P(\omega = s | \text{hotpot}) &= \frac{P(\omega = s) P(\text{hotpot} | \omega = s)}{P(\text{hotpot})} \\ &= \frac{0.5 * 0.2}{P(\text{hotpot})} \end{aligned}$$

Rainy:

$$\begin{aligned} P(\omega = r | \text{hotpot}) &= \frac{P(\omega = r) P(\text{hotpot} | \omega = r)}{P(\text{hotpot})} \\ &= \frac{0.5 * 0.75}{P(\text{hotpot})} \end{aligned}$$



# Bayes Classifier: Probability basics

Sunny:

$$\begin{aligned} P(\omega = s | \text{hotpot}) &= \frac{P(\omega = s) P(\text{hotpot} | \omega = s)}{P(\text{hotpot})} \\ &= \frac{0.5 * 0.2}{P(\text{hotpot})} \end{aligned}$$

Rainy:

$$\begin{aligned} P(\omega = r | \text{hotpot}) &= \frac{P(\omega = r) P(\text{hotpot} | \omega = r)}{P(\text{hotpot})} \\ &= \frac{0.5 * 0.75}{P(\text{hotpot})} \end{aligned}$$

# Bayes Classifier

- Prior:

$$P(\omega = s) = P(\omega = r)$$

- Posterior:

$$P(\omega = s|hotpot) \ll P(\omega = r|hotpot)$$

# Bayes Classifier

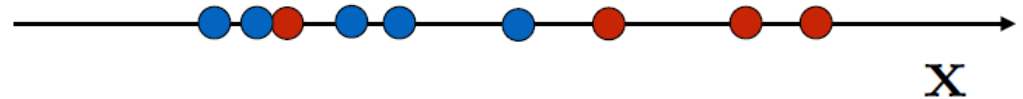
- The Bayes rule provides an approach of describing the uncertainty quantitatively, allowing for **the optimal prediction given the observations present**.
- Bayes serves as the foundation for the modern machine learning

# Bayes Classifier

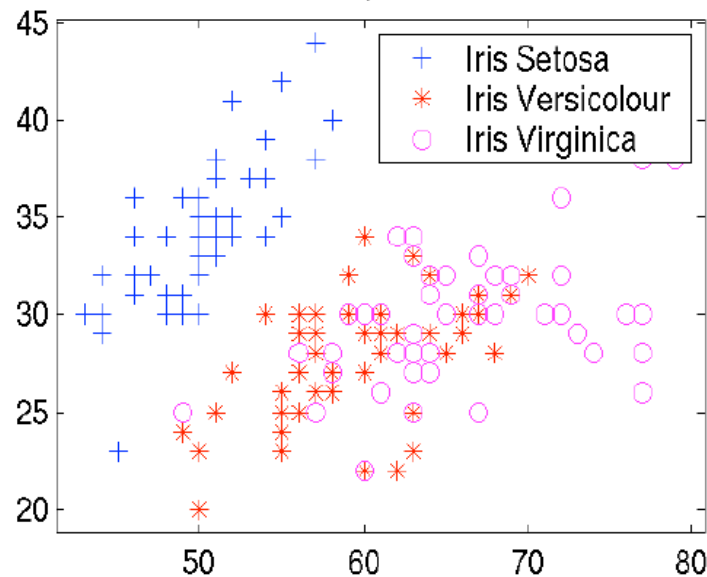
- We can interpretate the observations as a vector:

$$\mathbf{x} = (x_1, x_2, x_3 \dots x_p)^T$$

1D feature space:



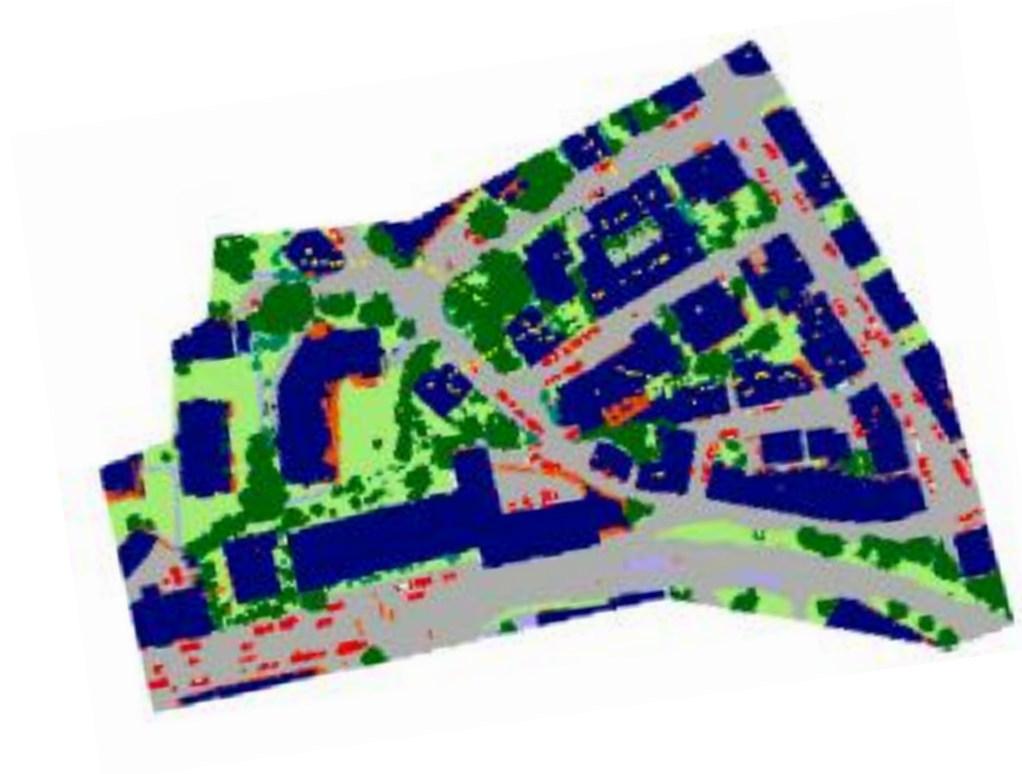
2D feature space:



# Bayes Classifier

- Regarding point clouds:

$$\mathbf{x} = (x, y, z, r, g, b, \textit{intensity} \dots)^T$$



# Bayes Classifier

- Each observation is linked with a label  $\omega$
- Bayes aims to model the joint distribution:

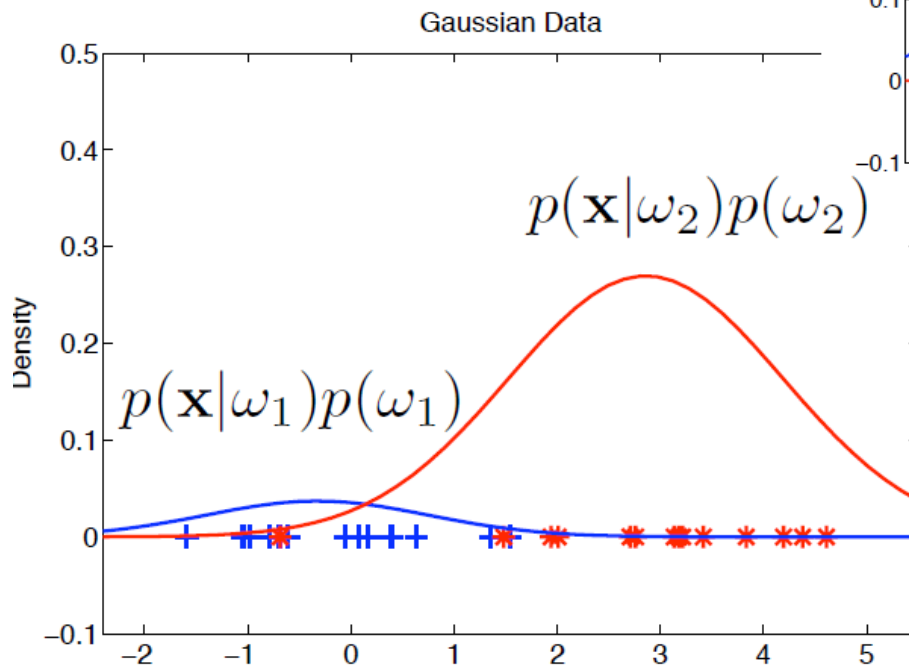
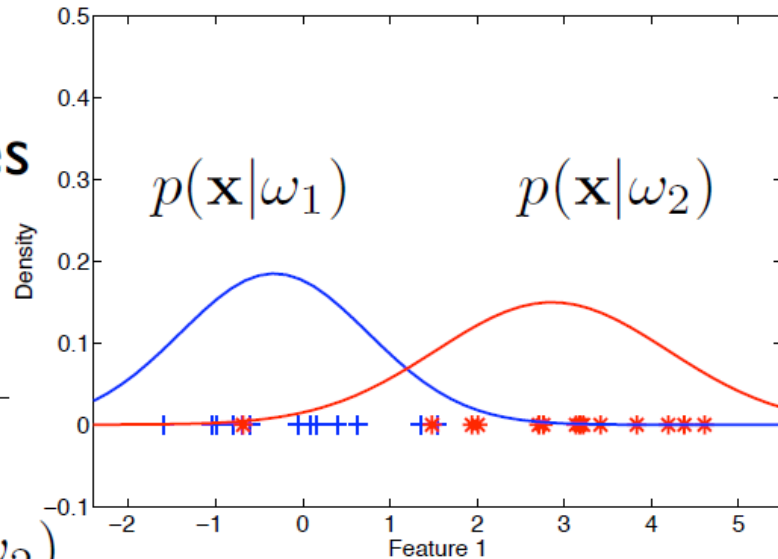
$$P(\mathbf{x}, \omega)$$

Or

$$P(\omega) P(\mathbf{x}|\omega)$$

# Bayes Classifier

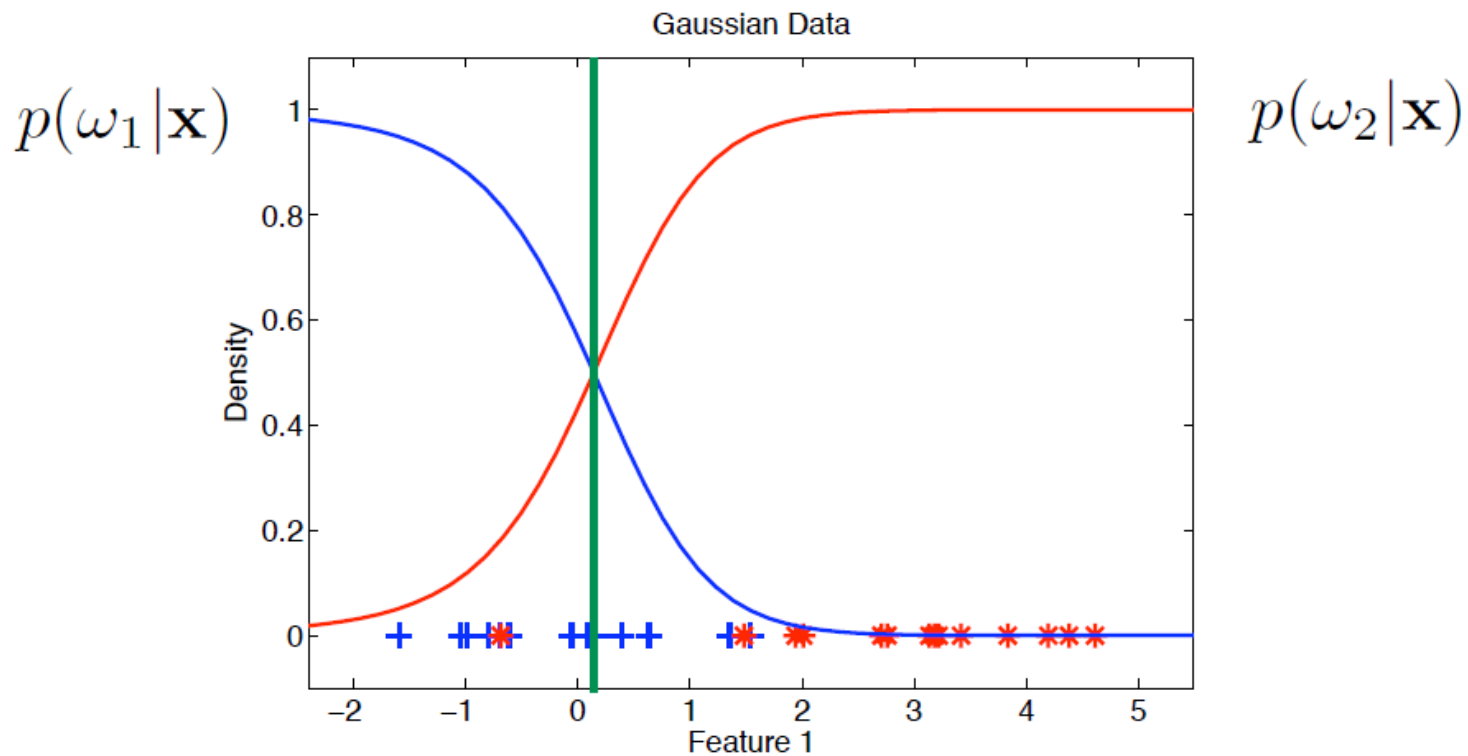
I. Estimate the class conditional probabilities



2. Multiply with the class priors

# Bayes Classifier

3. Compute the class posterior probabilities
4. Assign objects to the class with the highest posterior probability





# Bayes Classifier

- Approaches modeling  $P(\mathbf{x}, \omega)$  are called **Generative approaches**
- The distribution of  $P(\mathbf{x}, \omega)$  can be complex and hard to model
- It's impossible to obtain the true distributions in real world

# An Alternative

- **Distinctive approaches:** Model a function that directly map from input  $\mathbf{x}$  to the output label  $\omega$  :

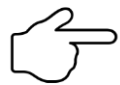
$$\omega = f(\mathbf{x})$$
$$\mathbf{x} = (x_1, x_2, x_3 \dots x_p)^T$$

- Linear mappings (Fisher, SVM)
- Non-linear mappings (decision trees, neural networks, deep learning)

# Today's Agenda

- Machine Learning basics

- Definition & Scope of machine learning
- Bayes classifier



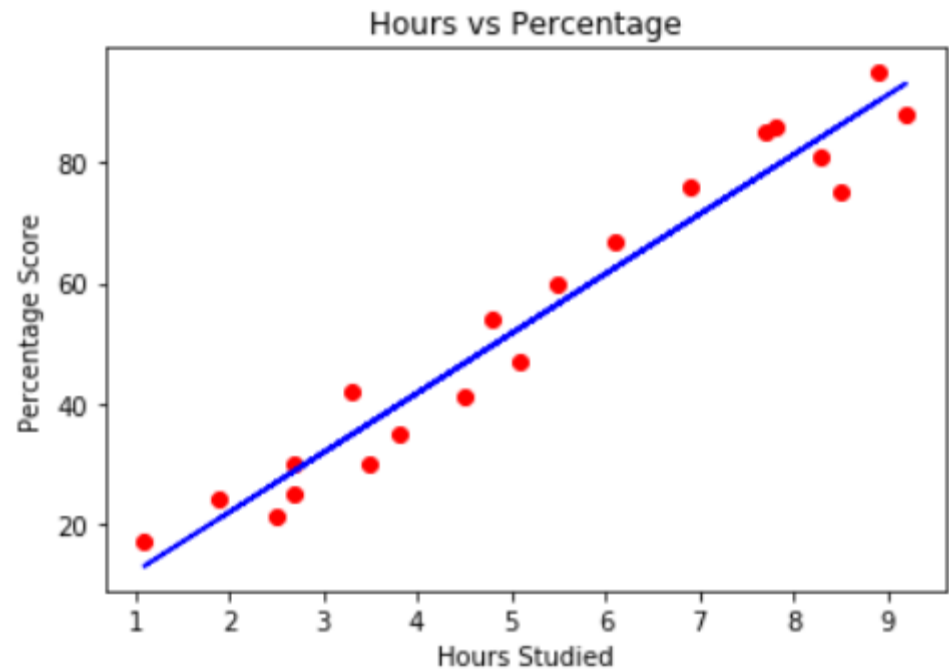
- Linear classifier (Fisher, SVM)

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# Linear Regression Recap

- $y_i = \mathbf{w}^T \mathbf{x}_i + b$ 
  - $\mathbf{x}_i = (x_1, x_2, x_3 \dots x_p)^T$
  - $\mathbf{w} = (w_1, w_2, w_3 \dots w_p)$
  - $b$  is the bias scalar
  - $y_i$  is the output scalar which should be continuous



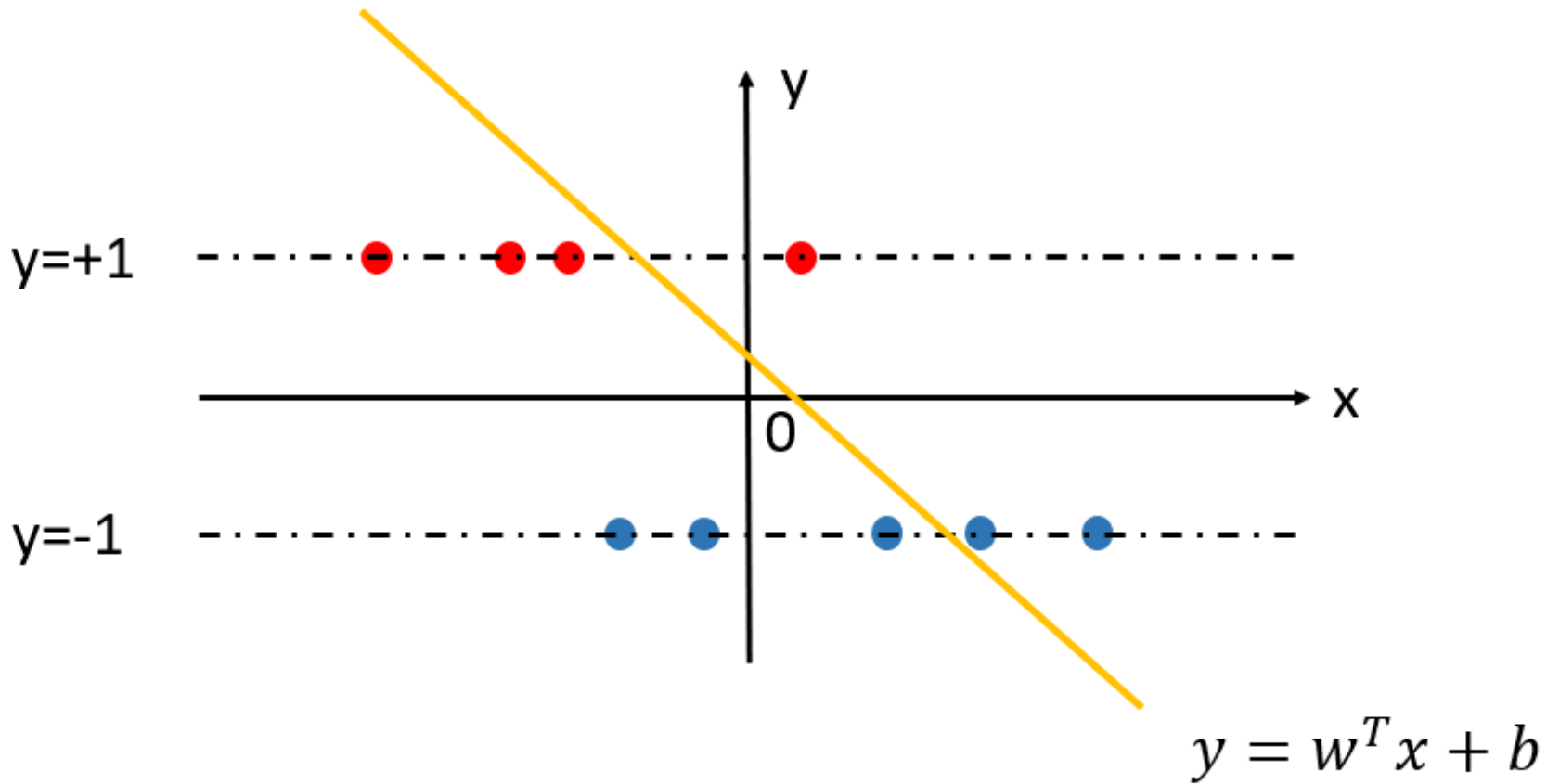
# Fisher Classifier

- $y_i = \mathbf{w}^T \mathbf{x}_i + b$ 
  - In classification,  $y$  is the output labels which are discrete
  - In a 1D feature, 2 class problems, we assume  $y_i=+1$  for positive class,  $y_i=-1$  for negative class

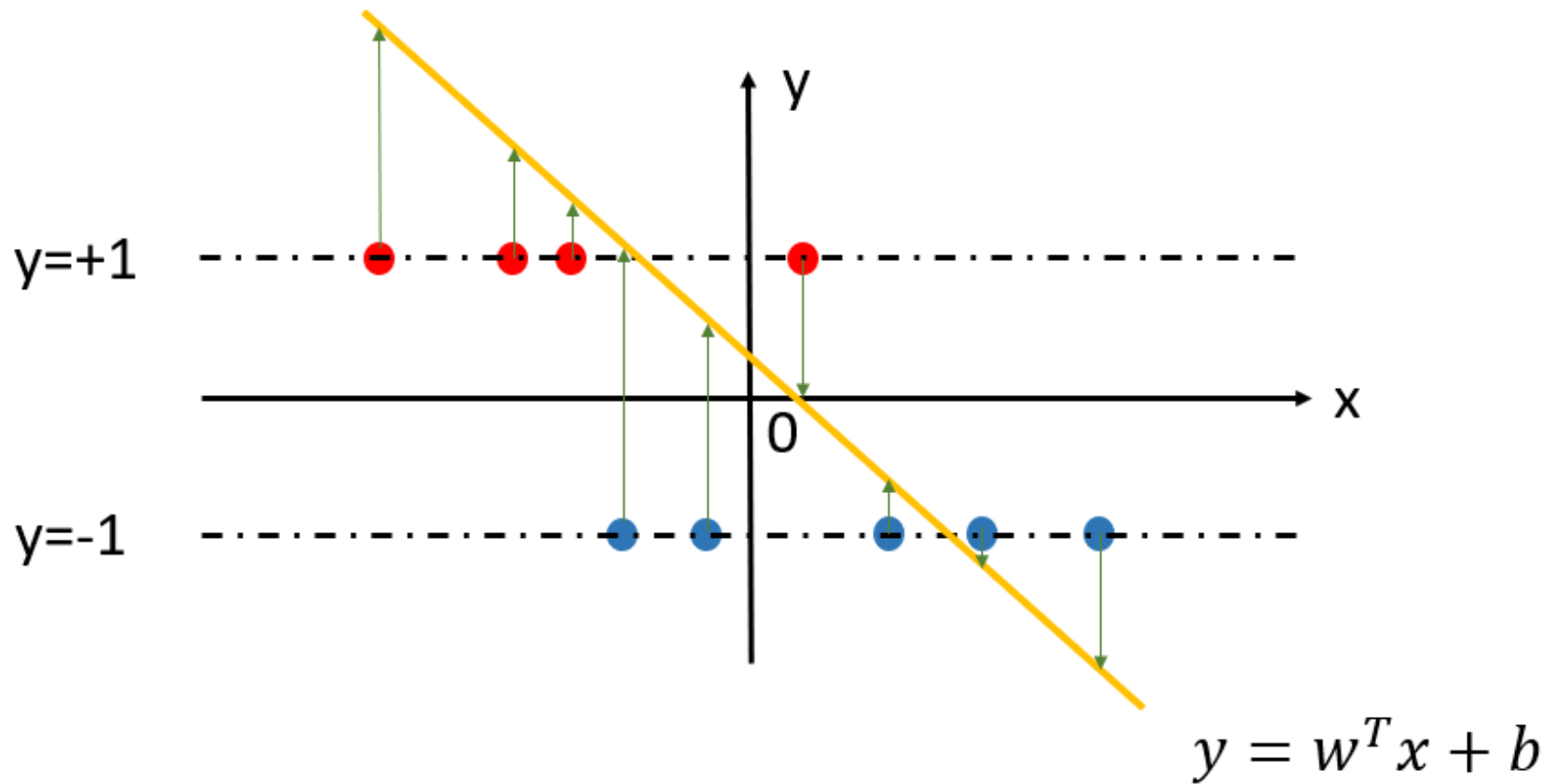


Fisher also represent a feature mapping method, but here we refer “Fisher” only to the standard linear classification

# Fisher Classifier



# Fisher Classifier



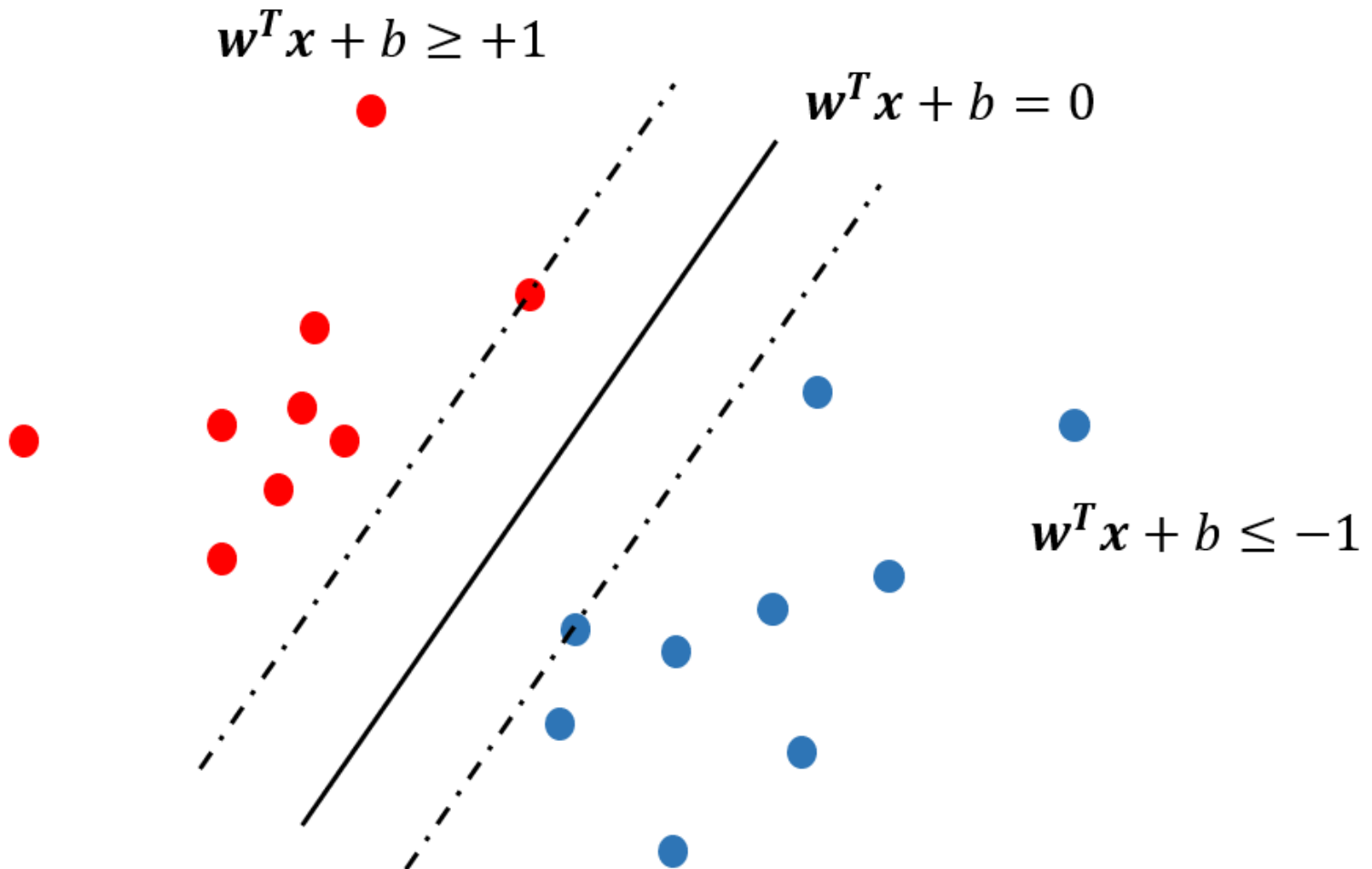
# Support Vector Machine

- Assume the dataset is linearly separable
- Constrain the weights so that the output is always larger than 1 or smaller than -1

$$\begin{cases} \mathbf{w}^T \mathbf{x}_i + b \geq +1 & \text{if } y_i = +1 \\ \mathbf{w}^T \mathbf{x}_i + b \leq -1 & \text{if } y_i = -1 \end{cases}$$



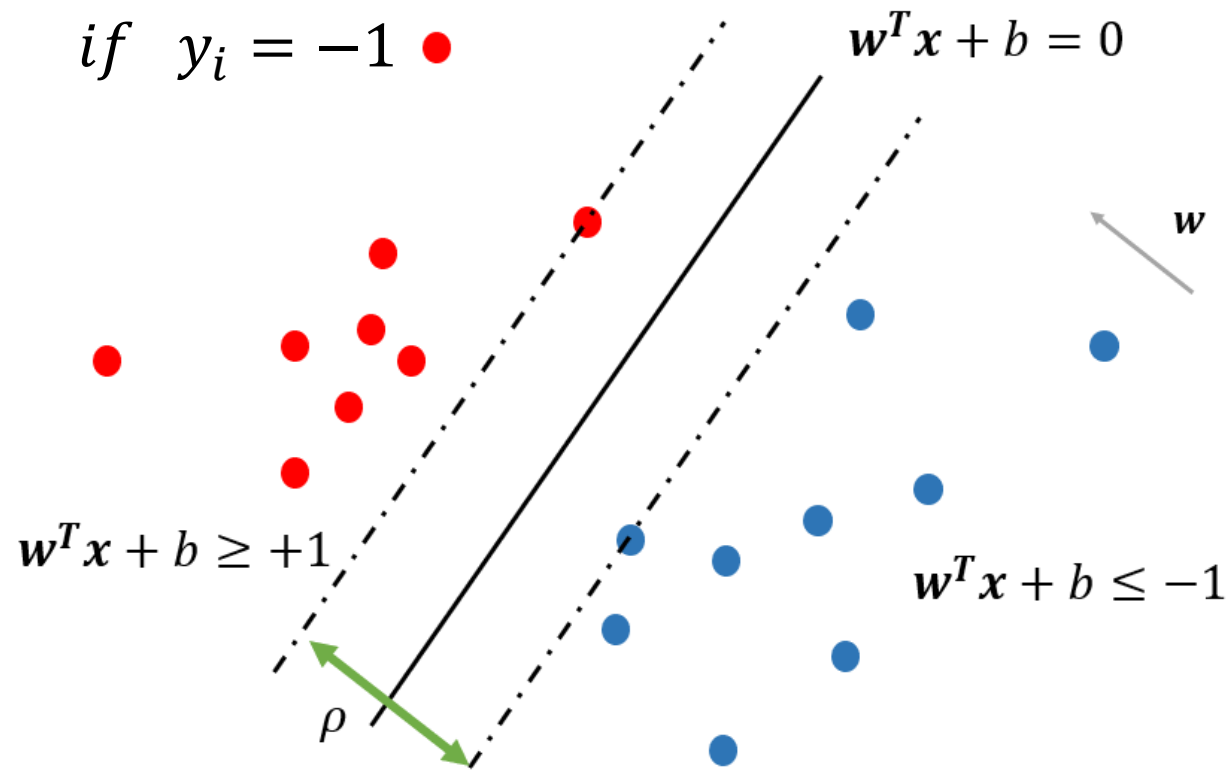
# Support Vector Machine



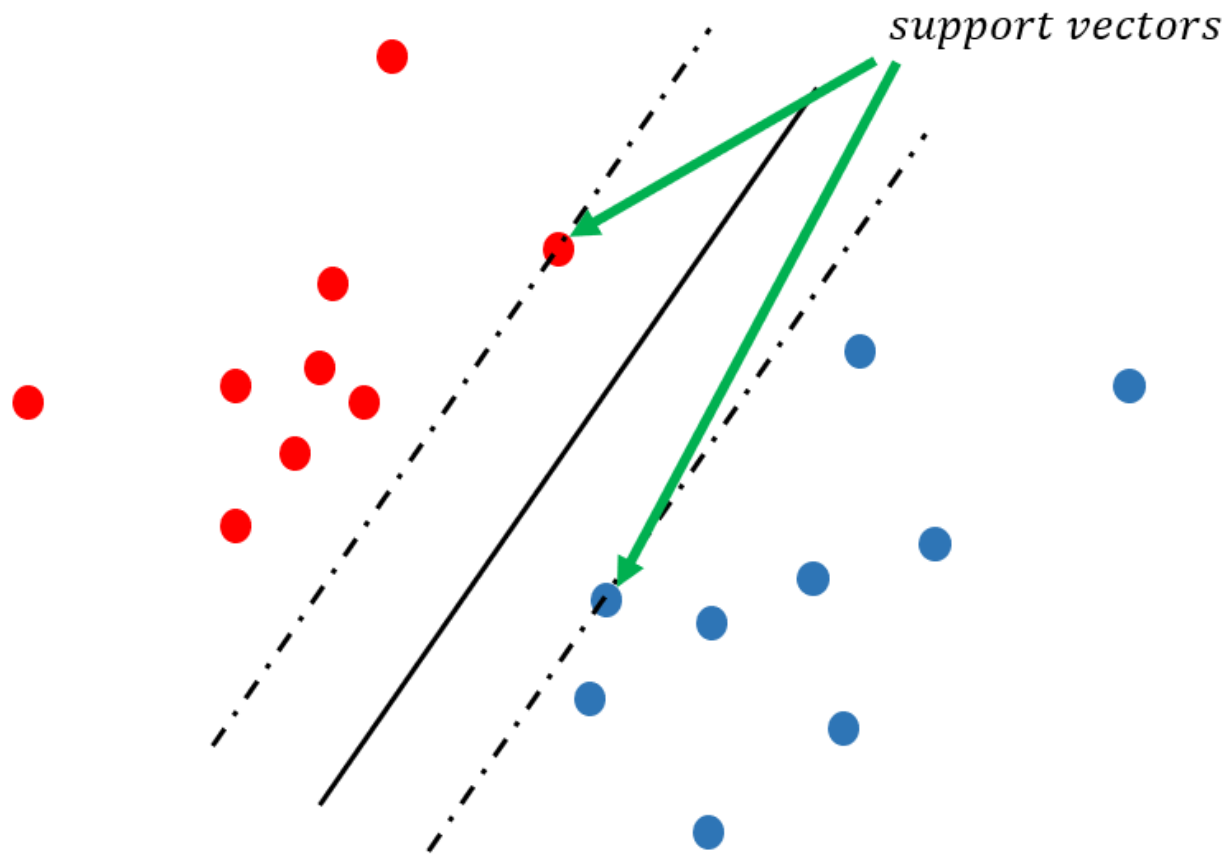
# Support Vector Machine

- $\max \rho$

$$s.t. \begin{cases} \mathbf{w}^T \mathbf{x}_i + b \geq +1 & \text{if } y_i = +1 \\ \mathbf{w}^T \mathbf{x}_i + b \leq -1 & \text{if } y_i = -1 \end{cases}$$



# Support Vector Machine



# References

- Pattern Recognition and Machine Learning
  - <https://www.microsoft.com/en-us/research/uploads/prod/2006/01/Bishop-Pattern-Recognition-and-Machine-Learning-2006.pdf>
    - Probabilities and Bayes: Section 1.2-1.2.4
    - Linear models: Section 3.1-3.1.1
- Pattern recognition
  - [https://darmanto.akakom.ac.id/pengenalanpola/Pattern%20Recognition%204th%20Ed.%20\(2009\).pdf](https://darmanto.akakom.ac.id/pengenalanpola/Pattern%20Recognition%204th%20Ed.%20(2009).pdf)
    - SVM: Section 3.7

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# Perceptron

- Consider a 2-class problem, the perceptron is formalized as:

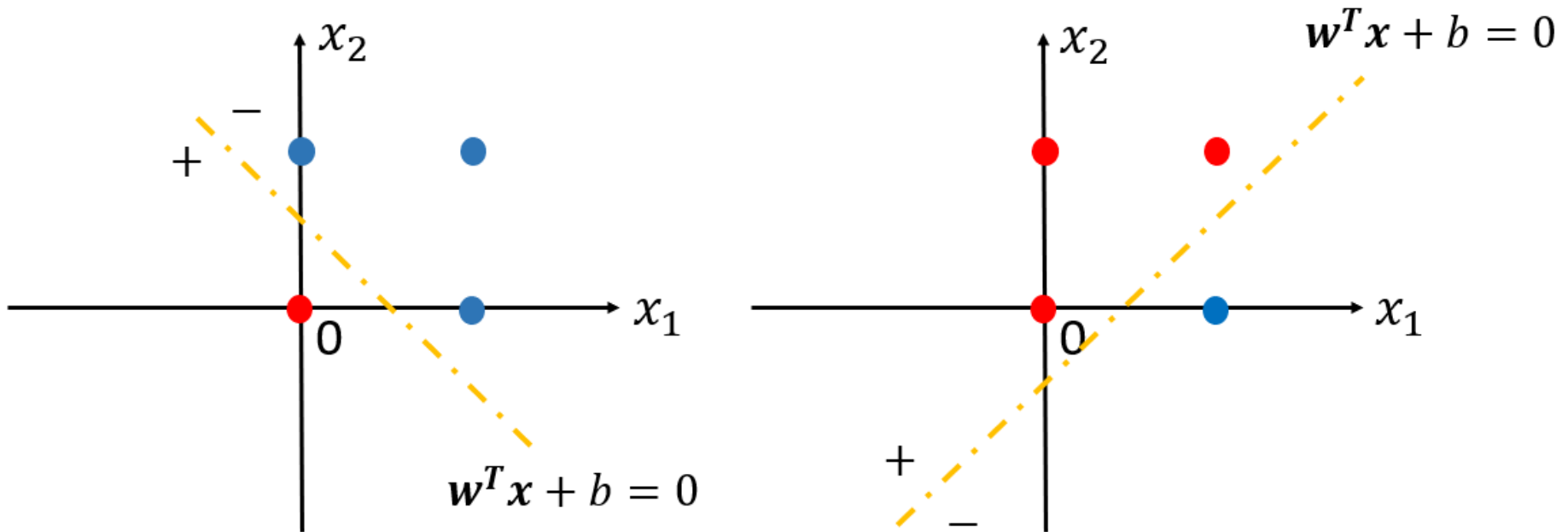
$$y = f(\mathbf{w}^T \mathbf{x} + b),$$

where  $f(\cdot)$  defines an **activation** function:

$$f(a) = \begin{cases} +1, & a \geq 0 \\ -1, & a < 0 \end{cases}$$

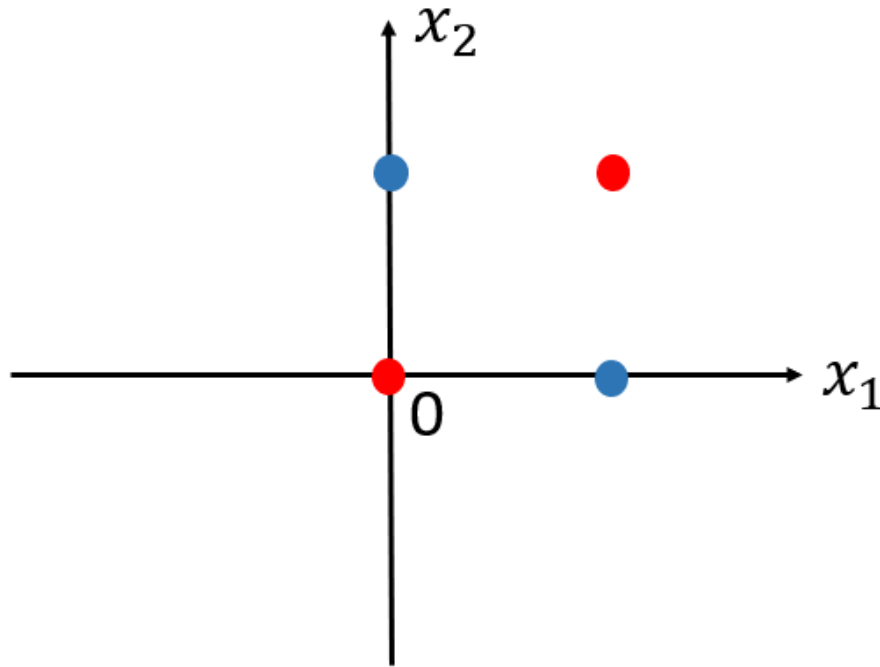
# Perceptron

- $f(\cdot)$  defines a linear boundary



# Perceptron

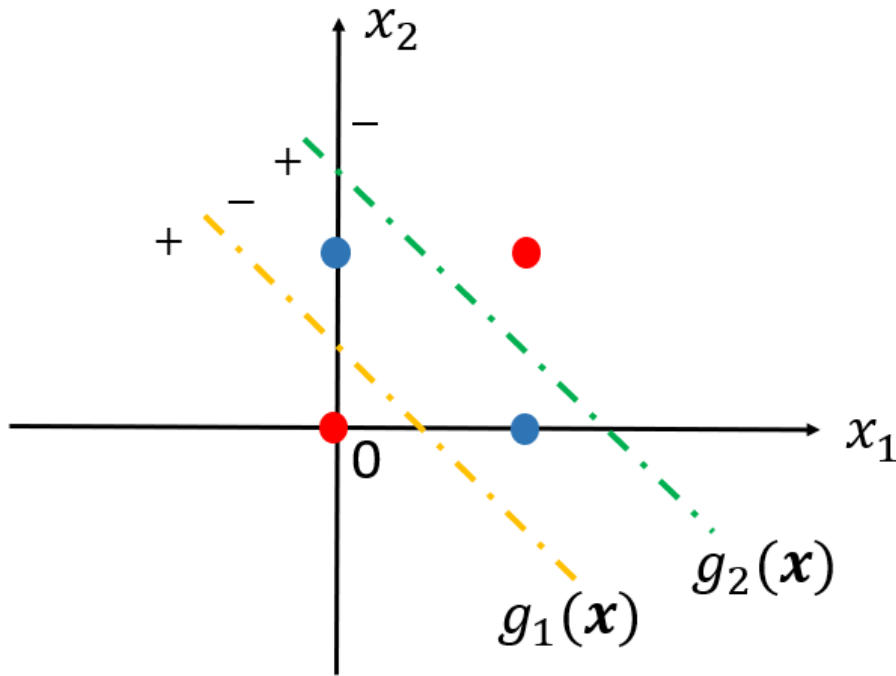
- How to define a boundary for the following case?



$x_1$	$x_2$	$y$
0	0	+1
0	1	-1
1	0	-1
1	1	+1

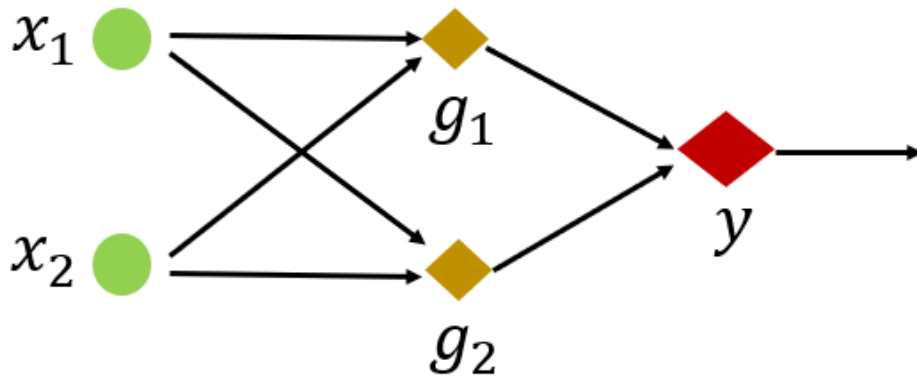


# Multi-Layer Perceptron (MLP)



$x_1$	$x_2$	$g_1$	$g_2$	$y$
0	0	+1	+1	+1
0	1	-1	+1	-1
1	0	-1	+1	-1
1	1	-1	-1	+1

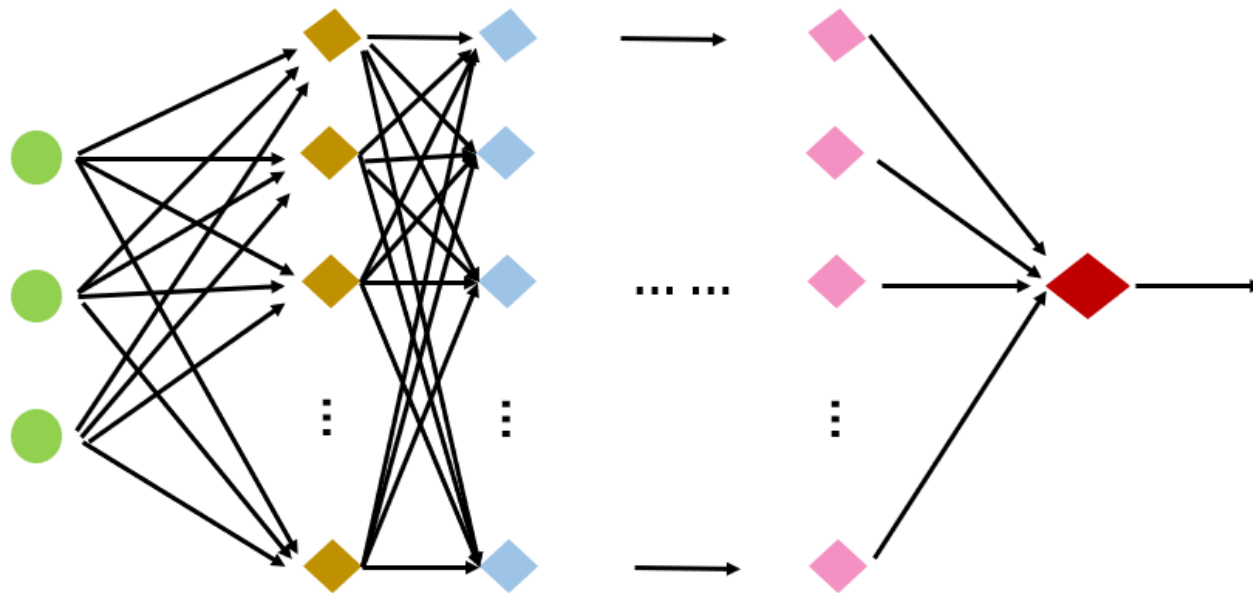
# Multi-Layer Perceptron (MLP)



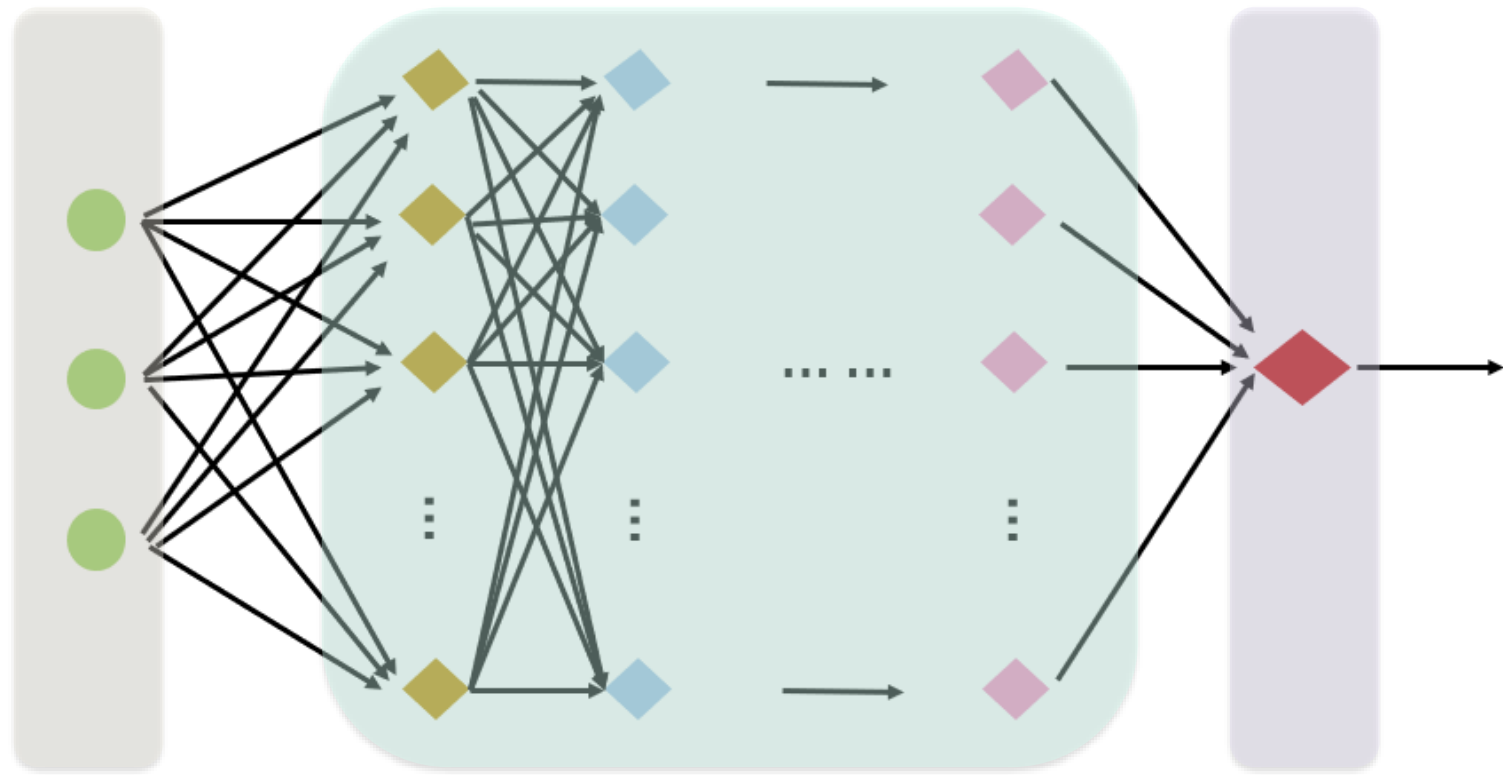
x1	x2	g1	g2	y
0	0	+1	+1	+1
0	1	-1	+1	-1
1	0	-1	+1	-1
1	1	-1	-1	+1

# Multi-Layer Perceptron (MLP)

- The more layers and perceptron units we have, the more capable of the machine to model complex non-linear distributions



# Deep Learning: Artificial Neural Network



Input features

Hidden layers

Output

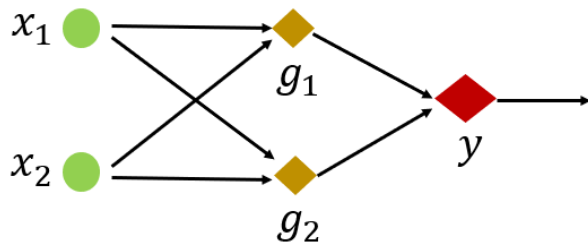
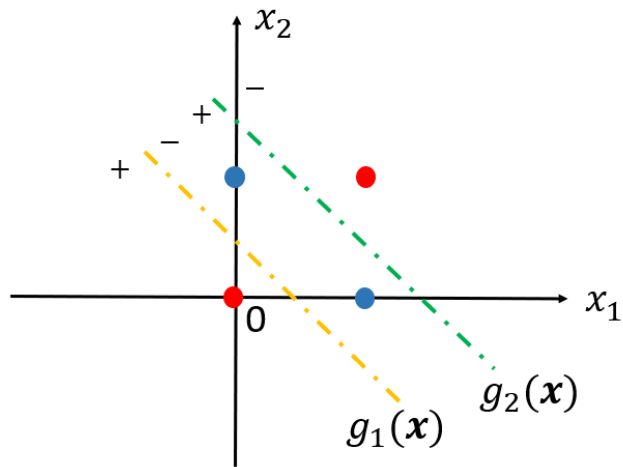
# Deep Learning

- **Capability:** MLP has the ability of modeling any finite input distributions in theory.

<http://www.vision.jhu.edu/teaching/learning/deeplearning18/assets/Hornik-91.pdf>

- **Flexibility:** learning to represent the world as a nested hierarchy of concepts, first with simple concepts and then building more complex concepts upon them.

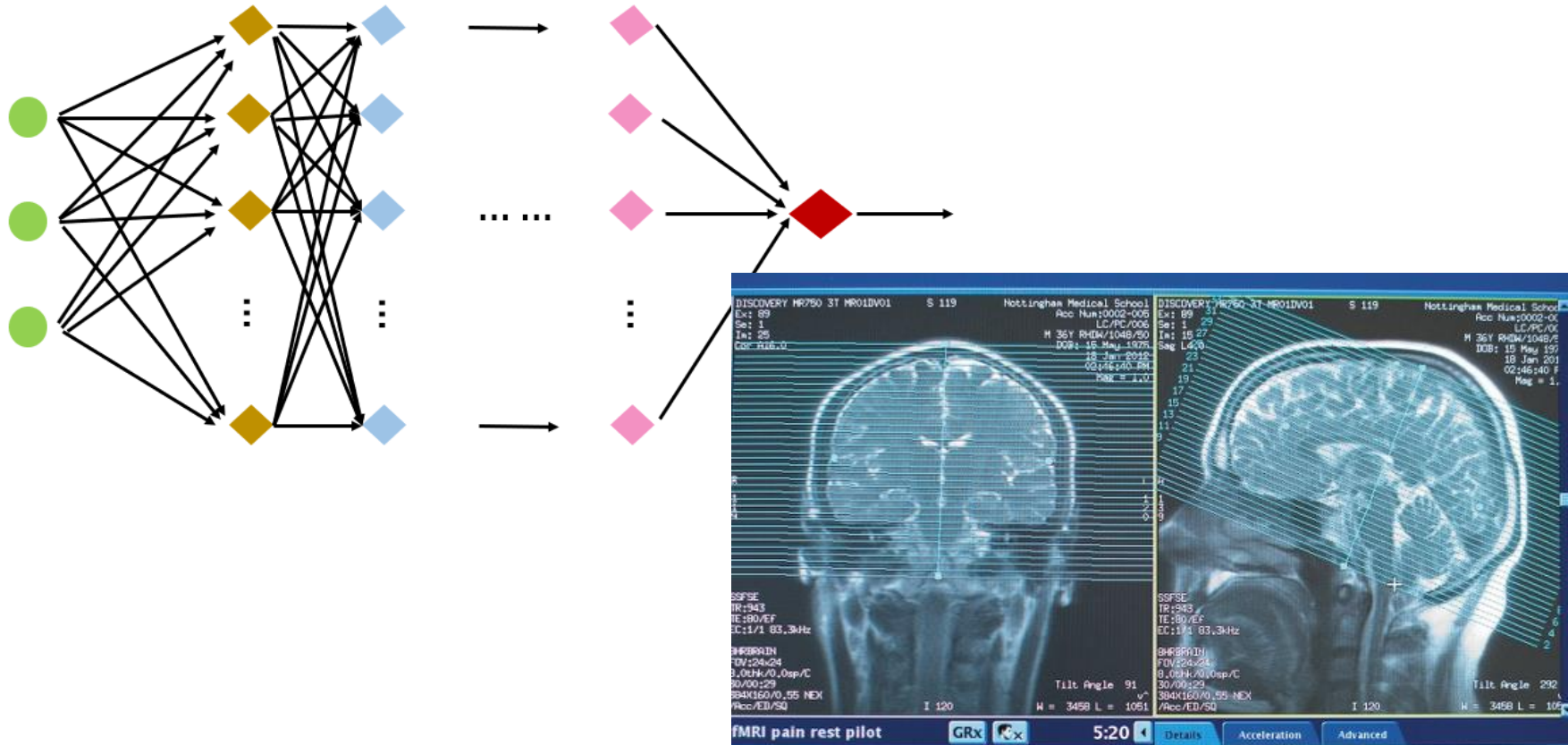
# Deep Learning



# Deep Learning

- **1940s – 1960s**: Perceptron concept developed
- **1980s – 1990s**: Connectionism; Back propagation
- **1990s – 2006s**: Decline of the wave
- **2006s – Now**: Breakthrough and Prosperity
- **2015s – Now**: Widely applied in 3D data (point clouds)

# Deep Learning vs. Neuro-Science



Source: <https://www.nottingham.ac.uk/psychology/research/computational-neuroscience.aspx>



# References

- Pattern recognition

[https://darmanto.akakom.ac.id/pengenalanpola/Pattern%20Recognition%204th%20Ed.%20\(2009\).pdf](https://darmanto.akakom.ac.id/pengenalanpola/Pattern%20Recognition%204th%20Ed.%20(2009).pdf)


- Multi-Layer Perceptron: Section 4.1-4.4

- Deep Learning

<https://www.deeplearningbook.org/>

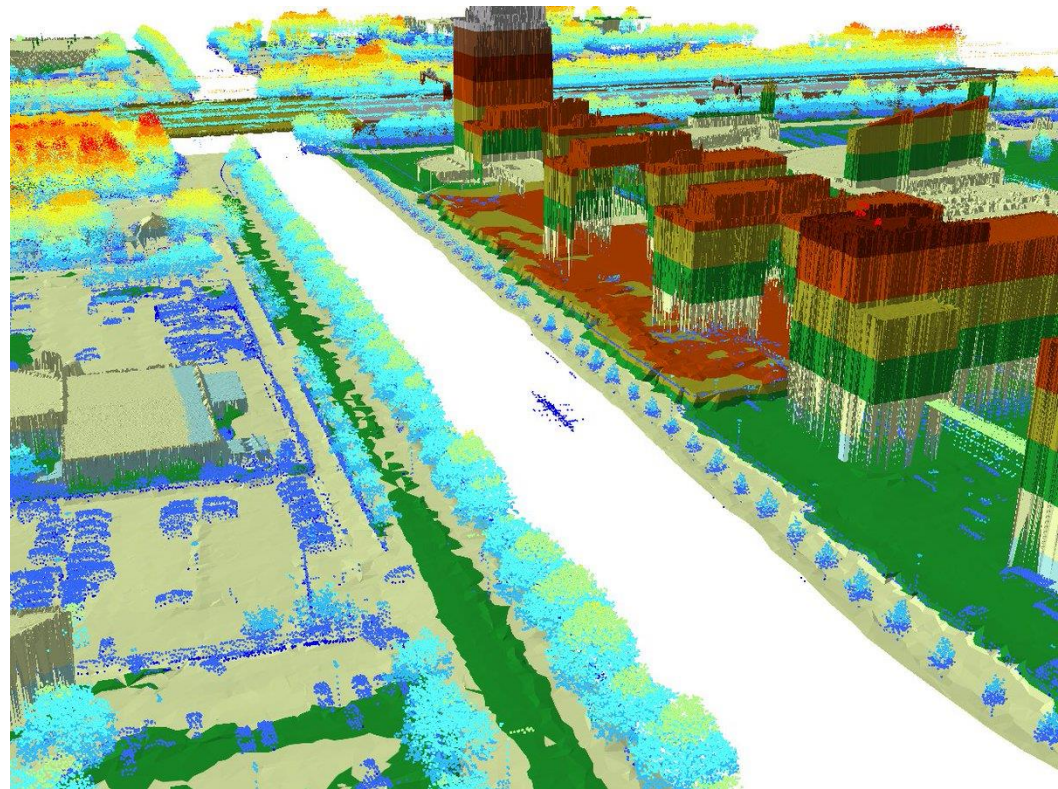
- DL introduction: Chapter 1

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- Deep Learning for 3D urban applications
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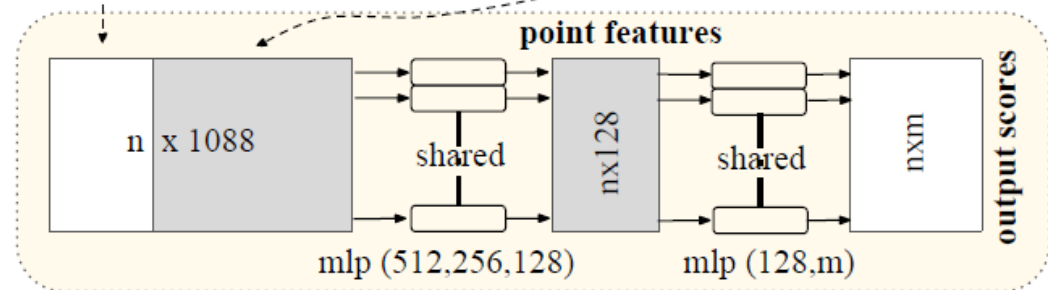
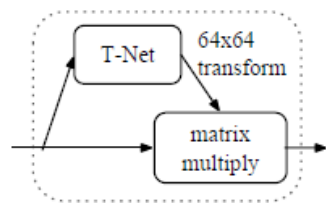
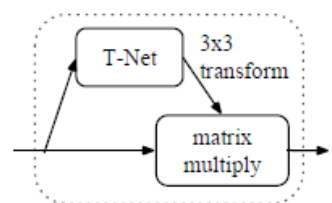
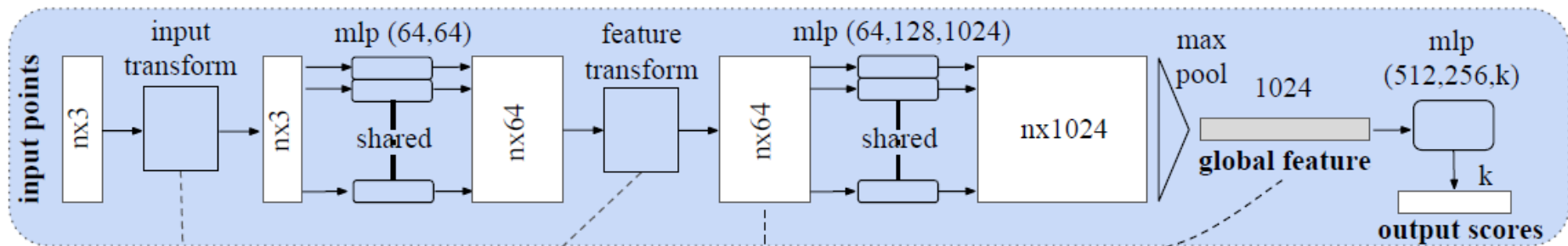
# Motivation

- 20% - 30% cost of the AHN project goes to classification
- Manual labelling is the prior choice



# PointNet (Qi et al, 2016)

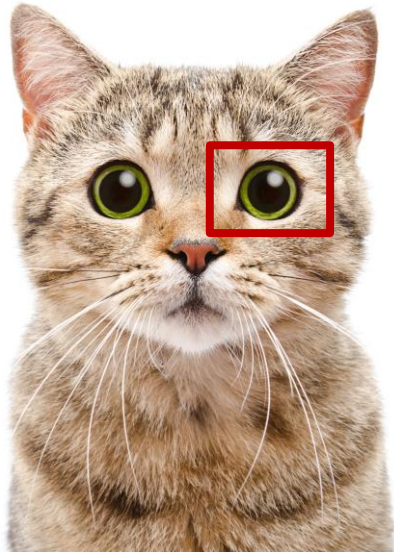
*Classification Network*



*Segmentation Network*

# PointNet (Qi et al, 2016)

- Max Pooling



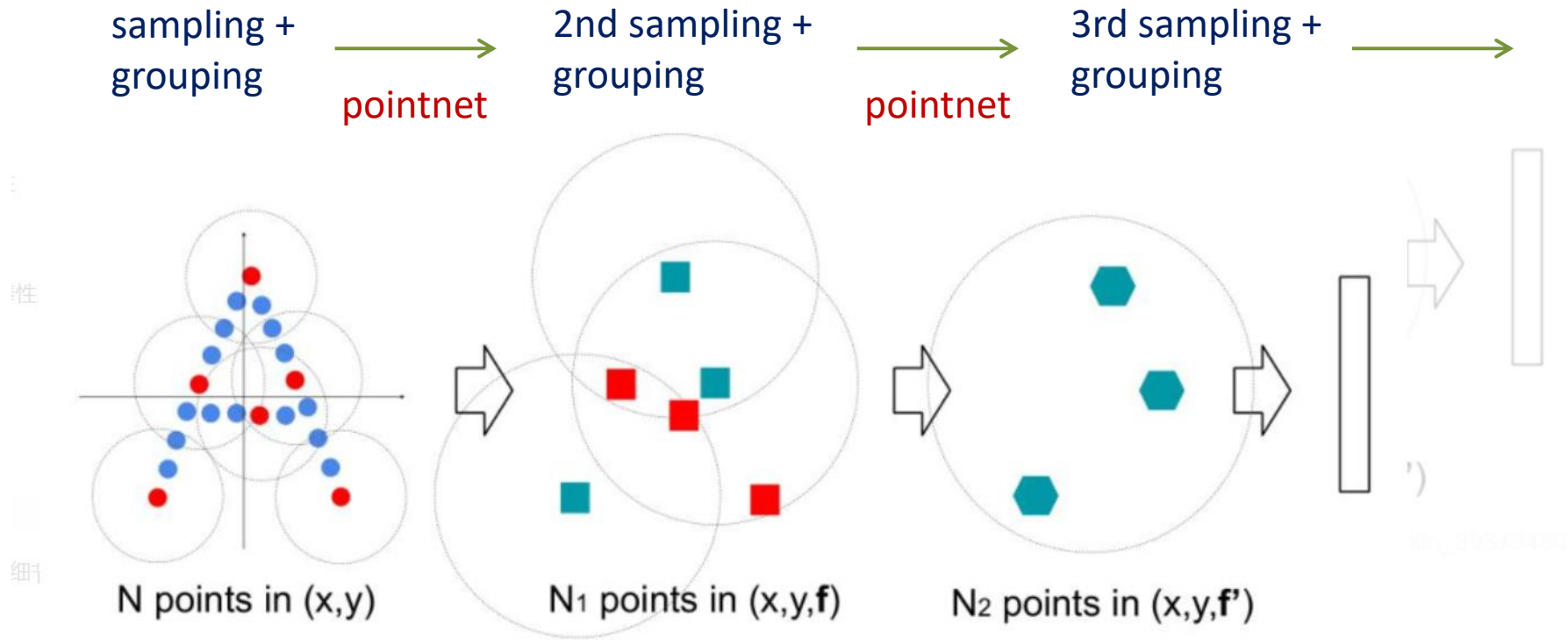
Source: <https://timesofindia.indiatimes.com/life-style/relationships/pets/5-things-that-scare-and-stress-your-cat/articleshow/67586673.cms>;

<https://blog.bricsys.com/point-cloud-to-a-bim-model-modeling-a-church-1-the-outside/>53

# PointNet (Qi et al, 2016)

- The pioneer work to first apply deep learning in 3D point clouds
- Simple, clearly-structured, elegant
- Taking per individual point into computation, lacking consideration for the context information
- Focused on global rather than local features

# PointNet++ (Qi et al, 2017)



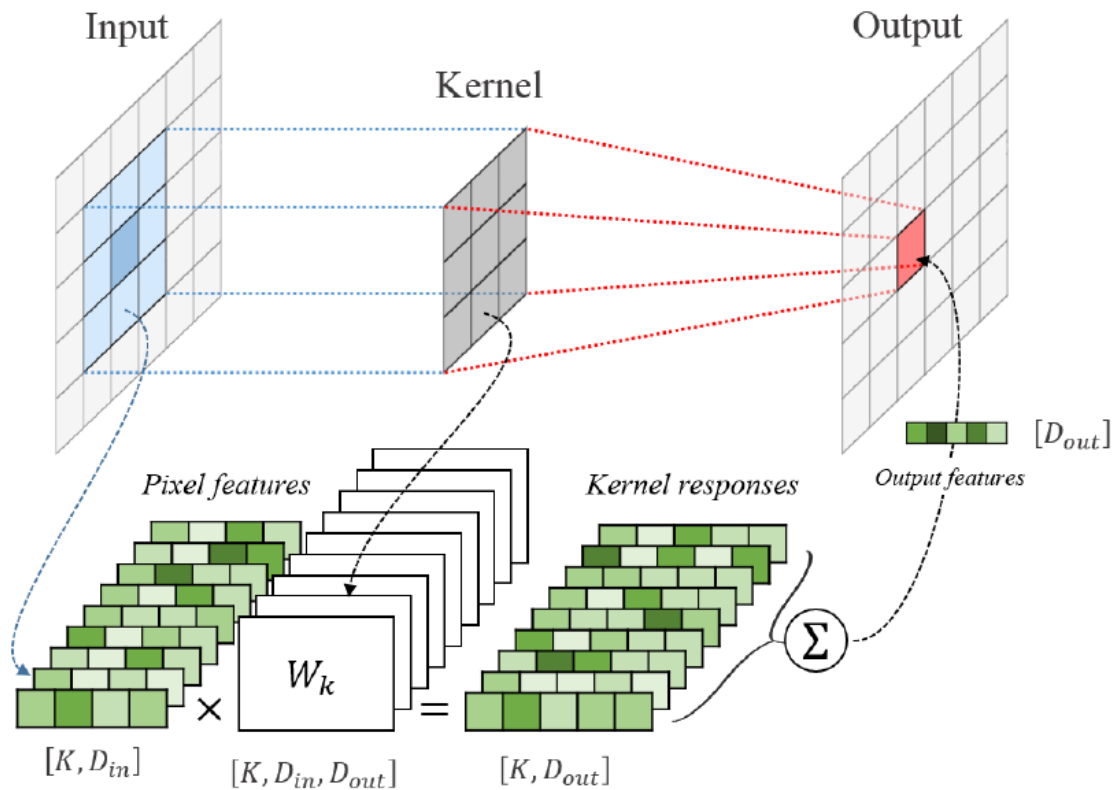
# PointNet++ (Qi et al, 2017)

- Involving local features by aggregating features in the neighborhoods
- Making efforts to achieve hierarchical learning
- Still considering per point in its local region independently



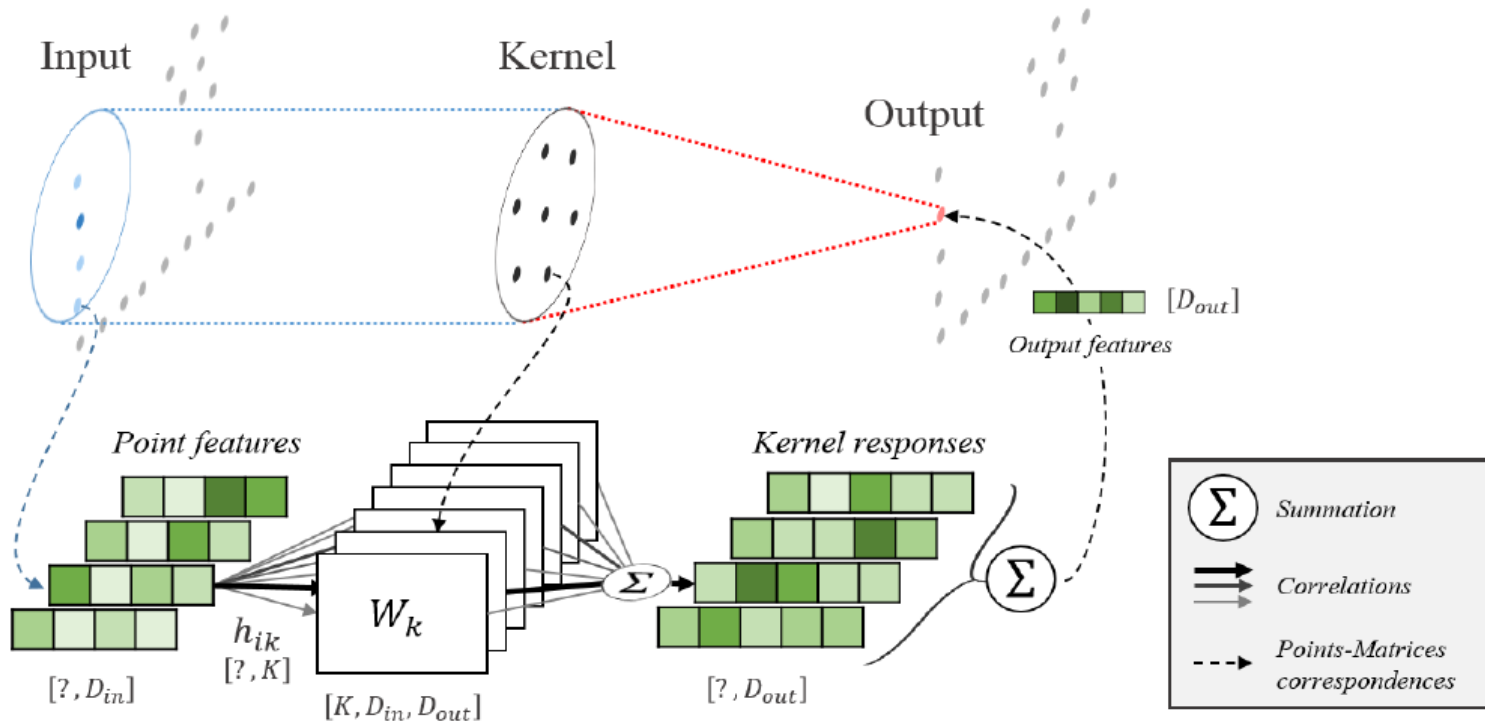
# KP-Conv (Thomas et al, 2019)

- Traditional 2D convolution

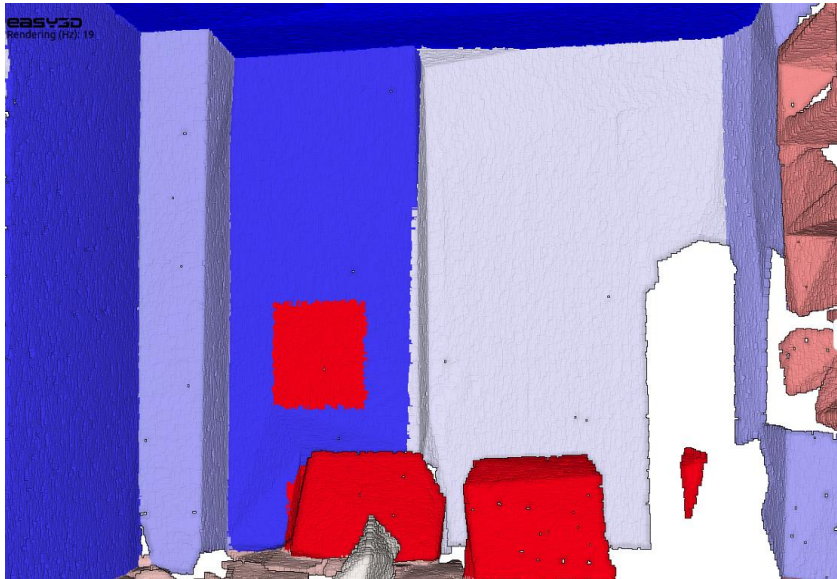


# KP-Conv (Thomas et al, 2019)

- 3D convolution using kernel points



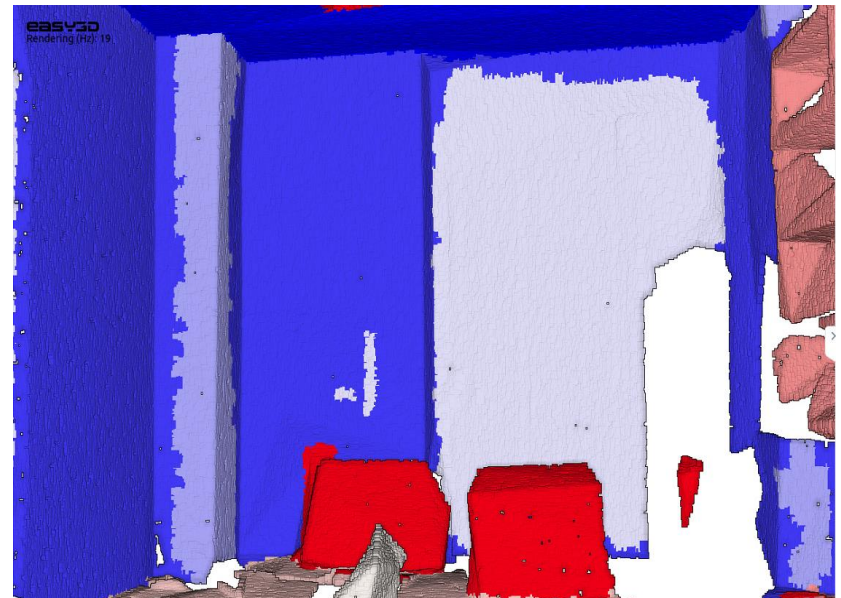
# Visualizing the Segmentation (KP-Conv)



Ground truth

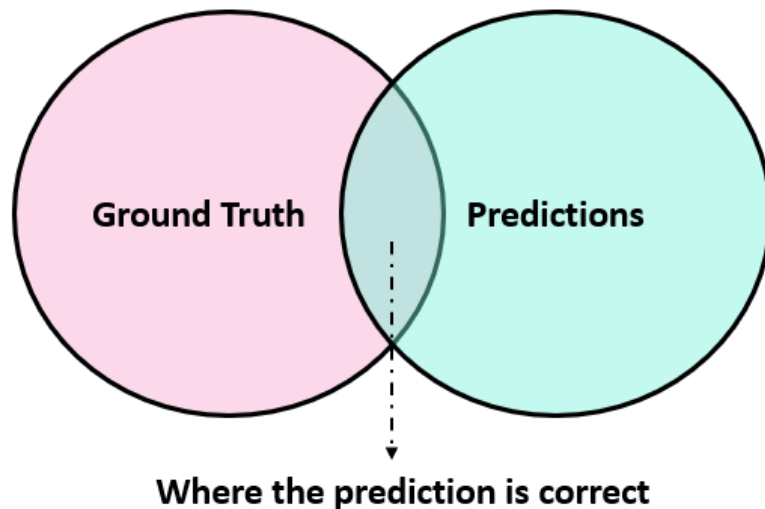
- |                                                                                     |          |                                                                                     |        |
|-------------------------------------------------------------------------------------|----------|-------------------------------------------------------------------------------------|--------|
|  | window   |  | column |
|  | wall     |  | table  |
|  | clutter  |  | chair  |
|  | bookcase |                                                                                     |        |

## KP-Conv Segmentation



# Segmenting Evaluation

- Evaluation is made via mIoU (**Mean Intersection over Union**):



Methods	Scannet	Sem3D	S3DIS	PL3D
Pointnet [26]	-	-	41.1	-
Pointnet++ [27]	33.9	-	-	-
SnapNet [4]	-	59.1	-	-
SPLATNet [34]	39.3	-	-	-
SegCloud [37]	-	61.3	48.9	-
RF_MSSF [38]	-	62.7	49.8	56.3
Eff3DConv [50]	-	-	51.8	-
TangentConv [36]	43.8	-	52.6	-
MSDVN [30]	-	65.3	54.7	66.9
RSNet [15]	-	-	56.5	-
FCPN [28]	44.7	-	-	-
PointCNN [20]	45.8	-	57.3	-
PCNN [2]	49.8	-	-	-
SPGraph [17]	-	73.2	58.0	-
ParamConv [41]	-	-	58.3	-
SubSparseCNN [9]	<b>72.5</b>	-	-	-
KPConv <i>rigid</i>	68.6	<b>74.6</b>	65.4	72.3
KPConv <i>deform</i>	68.4	73.1	<b>67.1</b>	<b>75.9</b>

# Project Resources

- **Pointnet**

- Tensorflow: <https://github.com/charlesq34/pointnet>
- Pytorch: <https://github.com/fxia22/pointnet.pytorch>

- **Pointnet++**

- Tensorflow: <https://github.com/charlesq34/pointnet2>
- Pytorch: [https://github.com/yanx27/Pointnet\\_Pointnet2\\_pytorch](https://github.com/yanx27/Pointnet_Pointnet2_pytorch)

- **KP-Conv**

- Tensorflow: <https://github.com/HuguesTHOMAS/KPConv>
- Pytorch: <https://github.com/HuguesTHOMAS/KPConv-PyTorch>

# A Small Challenge (Optional)

- The exercise is about Bayes classifier
- 2-classes, 1D feature space
- Questions together with answers will be shared

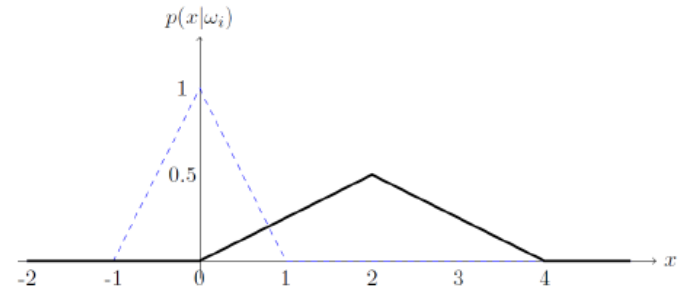


Figure 1.1: The class-conditional probabilities of two classes  $p(x|\omega_1)$  (dashed blue line) and  $p(x|\omega_2)$  (solid black line) in a 1-dimensional feature space.

1. Two class conditional probability density functions are given in the figure above. The first class  $\omega_1$  is represented by a dashed blue line and the second class  $\omega_2$  is represented with a solid black line. Two classes have equal prior probability:

$$P(\omega_1) = P(\omega_2) = 0.5$$

- (a) Use the Bayes' rule to derive the class posterior probabilities of the following objects:

- $x = -0.5$ ;
- $x = +0.5$ ;
- $x = 3$ ;

To which class are the objects therefore assigned?

- (b) What is the decision boundary of the Bayes classifier?

2. Revisit the question 1, assume the prior probabilities of two classes have changed:

$$P(\omega_1) = \frac{1}{3}$$

$$P(\omega_2) = \frac{2}{3}$$

Again, what is the decision boundary of the Bayes classifier?

# Thank you! Questions?

